

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

ADDRESS (number and street) ▼

1401 H STREET NW SUITE 1200

☐ Check if different than previously reported. (ACC)

WASHINGTON

DC

20005

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00105981

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☒

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr Peter Gallary

Signature of Treasurer

Mr Peter Gallary

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y 10 / 18 / 2012 To: M M / D D / Y Y Y Y Y 11 / 26 / 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y 2012		422931.28
(b) Cash on Hand at Beginning of Reporting Period.....	283351.01	
(c) Total Receipts (from Line 19)	120479.90	665876.89
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	403830.91	1088808.17
7. Total Disbursements (from Line 31)	25500.00	711461.28
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	378330.91	377346.89
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y
10 18 2012

To:

M M / D D / Y Y Y Y Y
11 26 2012

I. Receipts

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

119378.12

587627.22

(ii) Unitemized

100.00

1864.71

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

119478.12

589491.93

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

1000.00

66000.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ▶

120478.12

655491.93

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

460.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

9500.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

1.78

424.96

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

120479.90

665876.89

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ▶

120479.90

665876.89

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	84.16
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	84.16
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	25500.00	708500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	600.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	600.00
29. Other Disbursements	0.00	2277.12
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	25500.00	711461.28
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	25500.00	711461.28

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	120478.12	655491.93
34. Total Contribution Refunds (from Line 28(d))	0.00	600.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	120478.12	654891.93
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	84.16
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	460.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	-375.84

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Duncan Richardson

Mailing Address 55 Mossfield Rd

City

Waban

State

MA

Zip Code

02468-1921

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Executive VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

10 / 19 / 2012

Transaction ID : 11220313

Amount of Each Receipt this Period

1500.00

Full Name (Last, First, Middle Initial)

B. Mr. David M Stein

Mailing Address Two International Place

City

Boston

State

MA

Zip Code

02110-4101

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

10 / 19 / 2012

Transaction ID : 11220318

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Jeffrey P Beale

Mailing Address 40 Chestnut St

City

Salem

State

MA

Zip Code

01970-3129

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance Management

Occupation

Vice President & CAO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

10 / 19 / 2012

Transaction ID : 11220322

Amount of Each Receipt this Period

1500.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

4000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 48
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Matthew J Witkos

Mailing Address 255 State St

City
Boston

State
MA

Zip Code
02109-2682

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance Distributors, Inc.

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

10 / 19 / 2012

Transaction ID : 11220324

Amount of Each Receipt this Period

1500.00

Full Name (Last, First, Middle Initial)

B. Mr. Thomas E Faust Jr.

Mailing Address The Eaton Vance Bldg.
255 State St.

City
Boston

State
MA

Zip Code
02109-2682

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

President & Chief Investment Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

10 / 19 / 2012

Transaction ID : 11220327

Amount of Each Receipt this Period

5000.00

Full Name (Last, First, Middle Initial)

C. Maureen A Gemma Esq.

Mailing Address 255 State St

City
Boston

State
MA

Zip Code
02109-2682

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance Funds

Occupation

Chief Legal Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

10 / 19 / 2012

Transaction ID : 11220329

Amount of Each Receipt this Period

1500.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

8000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Michael R Mach

Mailing Address 15 Winter St

City
Lincoln

State
MA

Zip Code
01773-3401

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

VP Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220331

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Mr. Thomas M Metzold

Mailing Address 5 Longmeadow Dr

City
Westwood

State
MA

Zip Code
02090-1080

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220332

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Ms. Laurie G Hylton

Mailing Address Two International Place

City
Boston

State
MA

Zip Code
02110-4101

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

VP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220333

Amount of Each Receipt this Period

1500.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

3500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Michael W Weilheimer

Mailing Address 342 Dudley Rd

City

Newton Center

State

MA

Zip Code

02459-2829

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Bond Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220335

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Mr. Brian D Langstraat

Mailing Address Two International Place

City

Boston

State

MA

Zip Code

02110-4101

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220336

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Mark Venezia

Mailing Address Two International Place

City

Boston

State

MA

Zip Code

02110-4101

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance Management

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220346

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

3000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Payson Swaffield

Mailing Address 108 Walpole St

City
Dover

State
MA

Zip Code
02030-1603

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Chief Income Investment Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220347

Amount of Each Receipt this Period

1500.00

Full Name (Last, First, Middle Initial)

B. David C McCabe

Mailing Address Two International Place

City
Boston

State
MA

Zip Code
02110-4101

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220348

Amount of Each Receipt this Period

1500.00

Full Name (Last, First, Middle Initial)

C. Ms. Judith Saryan

Mailing Address 30 Hancock St

City
Cambridge

State
MA

Zip Code
02139-3150

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220355

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

4000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Walter A Row III

Mailing Address 62 Gregory Island Rd

City

South Hamilton

State

MA

Zip Code

01982-2637

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Director Equity Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

10 / 19 / 2012

Transaction ID : 11220357

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Ms. Cynthia Clemson

Mailing Address Two International Place

City

Boston

State

MA

Zip Code

02110-4101

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Co-Director of Municipal Investments

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

10 / 19 / 2012

Transaction ID : 11220358

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Fred Marius

Mailing Address 255 State St

City

Boston

State

MA

Zip Code

02109-2682

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance Management

Occupation

Chief Legal Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

10 / 19 / 2012

Transaction ID : 11220359

Amount of Each Receipt this Period

1500.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

3500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Scott Page

Mailing Address 19 Kipling Rd

City State Zip Code
Wellesley MA 02481-5206

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance

Occupation

Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220360

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Mr. Daniel Cataldo

Mailing Address 2 International Place

City State Zip Code
Boston MA 02110-4101

FEC ID number of contributing
federal political committee.

C

Name of Employer

Eaton Vance Management

Occupation

VP-Financial Planning & Analysis

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 19 / 2012

Transaction ID : 11220361

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

c. Mr. Stephen O. Buff

Mailing Address 251 Ameriprise Financial
H17/251

City State Zip Code
Minneapolis MN 55474-0002

FEC ID number of contributing
federal political committee.

C

Name of Employer

Columbia Management Investment

Occupation

VP, COO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 24 / 2012

Transaction ID : 11228988

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2250.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Ron Malur

Mailing Address 251 Ameriprise Financial
HI7/251

City State Zip Code
Minneapolis MN 55474-0002

FEC ID number of contributing
federal political committee.

C

Name of Employer
Columbia Management Advisors, Inc.

Occupation
Senior VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 25 / 2012

Transaction ID : 11229722

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

B. Mr. Herman J Rottinghaus

Mailing Address 100 E. Pratt St

City State Zip Code
Baltimore MD 21202-1009

FEC ID number of contributing
federal political committee.

C

Name of Employer
T. Rowe Price

Occupation
Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 26 / 2012

Transaction ID : 11236003

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

C. Mr. James Murphy

Mailing Address 205 Copperwood Ct

City State Zip Code
Millersville MD 21108-2700

FEC ID number of contributing
federal political committee.

C

Name of Employer
T. Rowe Price

Occupation
VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 26 / 2012

Transaction ID : 11236004

Amount of Each Receipt this Period

500.00

SUBTOTAL of Receipts This Page (optional)..... ►

1500.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Brian Joseph Brennan

Mailing Address 100 E. Pratt Street

City

Baltimore

State

MD

Zip Code

21202-1009

FEC ID number of contributing
federal political committee.

C

Name of Employer

T. Rowe Price

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

10 / 26 / 2012

Transaction ID : 11236005

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

B. Gabriel D Solomon

Mailing Address 100 East Pratt Street

City

Baltimore

State

MD

Zip Code

21202-1009

FEC ID number of contributing
federal political committee.

C

Name of Employer

T. Rowe Price

Occupation

VP, Equity Analyst

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

10 / 26 / 2012

Transaction ID : 11236006

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

C. Mr. Richard T Whitney

Mailing Address 100 E. Pratt St

City

Baltimore

State

MD

Zip Code

21202-1008

FEC ID number of contributing
federal political committee.

C

Name of Employer

T. Rowe Price

Occupation

Dir., Quantitative Equity & U.S. Tradi

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

10 / 26 / 2012

Transaction ID : 11236007

Amount of Each Receipt this Period

1500.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2250.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. John H Laporte

Mailing Address 100 E. Pratt Street

City State Zip Code
Baltimore MD 21202-1008

FEC ID number of contributing
federal political committee.

C

Name of Employer
T. Rowe Price Associates, Inc.

Occupation
Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 26 / 2012

Transaction ID : 11236008

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Mark S Finn

Mailing Address 100 East Pratt Street

City State Zip Code
Baltimore MD 21202-1009

FEC ID number of contributing
federal political committee.

C

Name of Employer
T. Rowe Price

Occupation
COO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 26 / 2012

Transaction ID : 11236010

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. John D Linehan

Mailing Address 6 Betty Bush Lane

City State Zip Code
Baltimore MD 21212-1051

FEC ID number of contributing
federal political committee.

C

Name of Employer
T. Rowe Price

Occupation
Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 09 / 2012

Transaction ID : 11267339

Amount of Each Receipt this Period

2000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

4000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Hugh D McGuirk

Mailing Address 100 E. Pratt Street

City
Baltimore

State
MD

Zip Code
21202-1008

FEC ID number of contributing
federal political committee.

C

Name of Employer

T. Rowe Price Associates, Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 09 / 2012

Transaction ID : 11267340

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Andrew T. Vaden

Mailing Address 1021 Lawrence Ave.

City
Westfield

State
NJ

Zip Code
07090-3740

FEC ID number of contributing
federal political committee.

C

Name of Employer

PIMCO Funds

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11269751

Amount of Each Receipt this Period

5000.00

Full Name (Last, First, Middle Initial)

C. Curtis A. Mewbourne

Mailing Address 840 Newport Center Drive
Suite 300

City
Newport Beach

State
CA

Zip Code
92660-6322

FEC ID number of contributing
federal political committee.

C

Name of Employer

PIMCO Funds

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11269752

Amount of Each Receipt this Period

3000.00

SUBTOTAL of Receipts This Page (optional)..... ►

9000.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Lew W Jacobs IV

Mailing Address 2187 Atlantic St

City

Stamford

State

CT

Zip Code

06902-6880

FEC ID number of contributing
federal political committee.

C

Name of Employer

PIMCO Advisors Funds

Occupation

Investment Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11269753

Amount of Each Receipt this Period

3000.00

Full Name (Last, First, Middle Initial)

B. Mr. Lew W Jacobs IV

Mailing Address 2187 Atlantic St

City

Stamford

State

CT

Zip Code

06902-6880

FEC ID number of contributing
federal political committee.

C

Name of Employer

PIMCO Advisors Funds

Occupation

Investment Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11269755

Amount of Each Receipt this Period

2000.00

Full Name (Last, First, Middle Initial)

C. Mr. Scott Mather

Mailing Address 22 Cliffhouse Bluff

City

Newport Coast

State

CA

Zip Code

92657-2132

FEC ID number of contributing
federal political committee.

C

Name of Employer

PIMCO Funds

Occupation

Investment Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11269757

Amount of Each Receipt this Period

3000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

8000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Brent L Holden

Mailing Address 840 Newport Ctr Dr

City

Newport Beach

State

CA

Zip Code

92660-6310

FEC ID number of contributing
federal political committee.

C

Name of Employer

PIMCO LLC

Occupation

Investment Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 13 / 2012

Transaction ID : 11269758

Amount of Each Receipt this Period

3000.00

Full Name (Last, First, Middle Initial)

B. Mr. John Miller

Mailing Address 840 Newport Center Dr
Ste 300

City

Newport Beach

State

CA

Zip Code

92660-6322

FEC ID number of contributing
federal political committee.

C

Name of Employer

PIMCO Funds

Occupation

Executive Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 13 / 2012

Transaction ID : 11269759

Amount of Each Receipt this Period

3000.00

Full Name (Last, First, Middle Initial)

C. Ravi Mattu

Mailing Address 840 Newport Center Dr.

City

Newport Beach

State

CA

Zip Code

92660-6310

FEC ID number of contributing
federal political committee.

C

Name of Employer

PIMCO Advisors Institutional Services,

Occupation

Investment Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 13 / 2012

Transaction ID : 11269761

Amount of Each Receipt this Period

3000.00

SUBTOTAL of Receipts This Page (optional)..... ►

9000.00

TOTAL This Period (last page this line number only)..... ►

X	11a		11b		11c		12		
	13		14		15		16		17

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

[illegible]

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Mike Hoover

Mailing Address 4500 Main Street
PO Box 419200

City State Zip Code
Kansas City MO 64111-7709

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investment Management

Occupation

Sr VP & Director Global Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273947

Amount of Each Receipt this Period

750.00

Full Name (Last, First, Middle Initial)

B. Mr. Robert Gahagan

Mailing Address 7226 Heitz Ct

City State Zip Code
San Jose CA 95120-3401

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investment Management

Occupation

SVP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273948

Amount of Each Receipt this Period

750.00

Full Name (Last, First, Middle Initial)

C. Mrs. Martha Miller

Mailing Address 4500 Main Street

City State Zip Code
Kansas City MO 64111-7709

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investments

Occupation

Sr. VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273949

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1750.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Sheila Hartnett-Devlin

Mailing Address 666 Third Avenue
23rd Floor

City State Zip Code
New York NY 10017-4041

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investments

Occupation

Senior VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273950

Amount of Each Receipt this Period

750.00

Full Name (Last, First, Middle Initial)

B. Mr. Phillip N Davidson

Mailing Address 4500 Main Street

City State Zip Code
Kansas City MO 64111-7709

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investments

Occupation

CIO, US Value Equity

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273951

Amount of Each Receipt this Period

750.00

Full Name (Last, First, Middle Initial)

C. Mr. Steve J McClain

Mailing Address 4435 Main

City State Zip Code
Kansas City MO 64111-7727

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investment Management

Occupation

Vice President, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273952

Amount of Each Receipt this Period

750.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2250.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Ms. Maryanne Roepke

Mailing Address 4500 Main St.

City

Kansas City

State

MO

Zip Code

64111-1816

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investments

Occupation

Senior Vice President & Chief Compliance Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273953

Amount of Each Receipt this Period

750.00

Full Name (Last, First, Middle Initial)

B. Mr. Peter Cieszko

Mailing Address 124 Dunning Rd

City

New Canaan

State

CT

Zip Code

06840-4010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Evergreen Investments, Inc.

Occupation

President, Global Distribution & Production

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273954

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Mark Gilstrap

Mailing Address 4500 Main St

City

Kansas City

State

MO

Zip Code

64111-1838

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investments

Occupation

SVP, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273955

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2750.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

<p>Full Name (Last, First, Middle Initial) A. Mr. Jonathan S Thomas</p> <p>Mailing Address 4500 Main St. P.O. Box 410141</p> <p>City Kansas City State MO Zip Code 64141-6200</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer American Century Investments Occupation President & CEO</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 1500.00</p>		<p>Date of Receipt M M / D D / Y Y Y Y Y 11 / 13 / 2012 Transaction ID : 11273956</p> <p>Amount of Each Receipt this Period 1500.00</p>
<p>Full Name (Last, First, Middle Initial) B. Mr. Mark Killen</p> <p>Mailing Address 4500 Main St</p> <p>City Kansas City State MO Zip Code 64111-1838</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer American Century Investments Occupation SVP - Direct Marketing & Services</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 1000.00</p>		<p>Date of Receipt M M / D D / Y Y Y Y Y 11 / 13 / 2012 Transaction ID : 11273960</p> <p>Amount of Each Receipt this Period 1000.00</p>
<p>Full Name (Last, First, Middle Initial) C. Mr. Barry Fink</p> <p>Mailing Address 4500 Main St.</p> <p>City Kansas City State MO Zip Code 64111-7709</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer American Century Investment Management Occupation EVP & COO</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 1000.00</p>		<p>Date of Receipt M M / D D / Y Y Y Y Y 11 / 13 / 2012 Transaction ID : 11273961</p> <p>Amount of Each Receipt this Period 1000.00</p>
<p>SUBTOTAL of Receipts This Page (optional)..... ▶</p>		<p>3500.00</p>
<p>TOTAL This Period (last page this line number only)..... ▶</p>		

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Charles A Etherington Esq.

Mailing Address 4500 Main Street
9th Floor

City State Zip Code
Kansas City MO 64111-7709

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investments

Occupation

General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273962

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Mr. Enrique Chang

Mailing Address 4500 Main Street

City State Zip Code
Kansas City MO 64111-7709

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Century Investment Management

Occupation

EVP & CIO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273963

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Michael P McAllister

Mailing Address 100 Light Street

City State Zip Code
Baltimore MD 21202-1140

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legg Mason

Occupation

Managing Director Head of Tax

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273966

Amount of Each Receipt this Period

500.00

SUBTOTAL of Receipts This Page (optional)..... ►

2500.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Joseph A Carrier

Mailing Address 100 Light Street

City State Zip Code
Baltimore MD 21202-1140

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legg Mason, Inc.

Occupation

Dir of Strategic Initiatives

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 13 / 2012

Transaction ID : 11273967

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Mr. Jeffrey A Nattans

Mailing Address 100 International Drive

City State Zip Code
Baltimore MD 21202-4649

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legg Mason

Occupation

Executive Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 13 / 2012

Transaction ID : 11273968

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Ted P Becker

Mailing Address 620 Eighth Ave

City State Zip Code
New York NY 10018-1618

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legg Mason

Occupation

Chief Compliance Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 13 / 2012

Transaction ID : 11273969

Amount of Each Receipt this Period

500.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Joseph Sullivan

Mailing Address 100 International Drive

City
Baltimore

State
MD

Zip Code
21202-4673

FEC ID number of contributing
federal political committee.

C

Name of Employer

DoubleLine Capital, LLC

Occupation

Interim CEO, Head of Global Distributi

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273970

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Kevin K. Kennedy

Mailing Address 620 Eight Ave
50th Floor

City
New York

State
NY

Zip Code
10018-1427

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legg Mason

Occupation

Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273971

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Alan Magleby

Mailing Address 100 International Drive

City
Baltimore

State
MD

Zip Code
21202-4649

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legg Mason

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

11 / 13 / 2012

Transaction ID : 11273972

Amount of Each Receipt this Period

500.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

2500.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Thomas P Lemke

Mailing Address 100 Light St.

City State Zip Code
Baltimore MD 21202-1140

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legg Mason

Occupation

General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3500.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 13 / 2012

Transaction ID : 11273973

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Ms. Amy Lancellotta

Mailing Address 1401 H Street NW
Suite 1200

City State Zip Code
Washington DC 20005-2202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 13 / 2012

Transaction ID : 11273988

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Brian K Reid

Mailing Address 1401 H Street NW
Suite 1200

City State Zip Code
Washington DC 20005-2202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Chief Economist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 14 / 2012

Transaction ID : 11274275

Amount of Each Receipt this Period

5000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

7000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Allen Huffman

Mailing Address 1401 H St NW
Suite 1200

City Washington State DC Zip Code 20005-2110

FEC ID number of contributing
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Director - Retirement Security and Tax

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

11 / 15 / 2012

Transaction ID : 11274362

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

B. Mr. James A McNamara

Mailing Address 32 Old Slip

City New York State NY Zip Code 10005-3500

FEC ID number of contributing
federal political committee.

C

Name of Employer

Goldman Sachs & Co.

Occupation

President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

11 / 15 / 2012

Transaction ID : 11274367

Amount of Each Receipt this Period

5000.00

Full Name (Last, First, Middle Initial)

C. Gavin G O'Connor

Mailing Address 33 Horizon Court

City Township Of Washington State NJ Zip Code 07676-3810

FEC ID number of contributing
federal political committee.

C

Name of Employer

Goldman Sachs & Co.

Occupation

Chief Administrative Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

11 / 15 / 2012

Transaction ID : 11274368

Amount of Each Receipt this Period

1500.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

7000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Timothy J O'Neill

Mailing Address 32 Old Slip

City
New York

State Zip Code
NY 10005-3500

FEC ID number of contributing
federal political committee.

C

Name of Employer
Goldman Sachs & Co.

Occupation
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 15 / 2012

Transaction ID : 11274369

Amount of Each Receipt this Period

1500.00

Full Name (Last, First, Middle Initial)

B. Mr. Eric S. Lane

Mailing Address 200 East 72nd St. apt. 11A

City
New York

State Zip Code
NY 10021-4540

FEC ID number of contributing
federal political committee.

C

Name of Employer
Goldman Capital Management, Inc.

Occupation
co-head of the Investment Management D

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 15 / 2012

Transaction ID : 11274370

Amount of Each Receipt this Period

1500.00

Full Name (Last, First, Middle Initial)

C. Mr. Mark D Nerud

Mailing Address 225 W. Wacker Dr.
Ste. 1200

City
Chicago

State Zip Code
IL 60606-1276

FEC ID number of contributing
federal political committee.

C

Name of Employer
Jackson National Asset Mgmt.

Occupation
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 19 / 2012

Transaction ID : 11282744

Amount of Each Receipt this Period

5000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

8000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Ms. Kathleen C Gubanich

Mailing Address 100 Vanguard Blvd.

City

Malvern

State

PA

Zip Code

19355-2331

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Vanguard Group, Inc.

Occupation

Managing Director, HR

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 20 / 2012

Transaction ID : 11282782

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Mr. John Marcante

Mailing Address 100 Vanguard Blvd

City

Malvern

State

PA

Zip Code

19355-2331

FEC ID number of contributing
federal political committee.

C

Name of Employer

Vanguard Group

Occupation

Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 20 / 2012

Transaction ID : 11282783

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Sandra O Moose

Mailing Address 399 Boylston ST

City

Boston

State

MA

Zip Code

02116-3305

FEC ID number of contributing
federal political committee.

C

Name of Employer

Natixis Asset Management

Occupation

Independent Chairman

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 26 / 2012

Transaction ID : 11287281

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

3000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Wendell J Knox

Mailing Address 55 Wheeler Street

City

Cambridge

State

MA

Zip Code

02138-1192

FEC ID number of contributing
federal political committee.

C

Name of Employer

ABT Associates

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

11 / 26 / 2012

Transaction ID : 11287282

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

B. Cynthia L Walker

Mailing Address 333 Cedar St.
SHM 1-202

City

New Haven

State

CT

Zip Code

06510-3206

FEC ID number of contributing
federal political committee.

C

Name of Employer

Yale University

Occupation

Deputy Dean for Finance & Administrati

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 26 / 2012

Transaction ID : 11287283

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Kenneth A Drucker

Mailing Address 399 Boylston ST

City

Boston

State

MA

Zip Code

02116-3305

FEC ID number of contributing
federal political committee.

C

Name of Employer

Natixis Funds

Occupation

Independent Trustee

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

11 / 26 / 2012

Transaction ID : 11287285

Amount of Each Receipt this Period

500.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1750.00

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Peter J Smail

Mailing Address 399 Boylston ST

City State Zip Code
 Boston MA 02116-3305

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Natixis Global Asset Management

Occupation
 Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 11 26 2012

Transaction ID : 11287286

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Erik Sirri Ttee

Mailing Address 399 Boylston ST.
 10th Floor

City State Zip Code
 Boston MA 02116-3305

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Natixis Asset Management

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 11 26 2012

Transaction ID : 11287287

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Michael S Miller

Mailing Address Box 2600

City State Zip Code
 Valley Forge PA 19482-2600

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Vanguard Group, Inc.

Occupation
 Managing Director, Planning & Dev. Gro

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 11 26 2012

Transaction ID : 11287288

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)..... ►

3000.00

TOTAL This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Ms. Heidi Stam

Mailing Address 100 Vanguard Blvd.

City State Zip Code
 Malvern PA 19355-2331

FEC ID number of contributing
federal political committee.

C

Name of Employer
 The Vanguard Group, Inc.

Occupation
 Managing Director and General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 26 / 2012

Transaction ID : 11287289

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Ms. Paul Heller

Mailing Address 100 Vanguard Blvd

City State Zip Code
 Malvern PA 19355-2331

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Vanguard Group

Occupation
 Managing Director & CIO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 26 / 2012

Transaction ID : 11287290

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Mr. Glenn Reed

Mailing Address Vanguard Financial Center
 Box 2600

City State Zip Code
 Valley Forge PA 19482

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Vanguard Group, Inc.

Occupation
 Managing Director, Corp. Strategy Grou

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 26 / 2012

Transaction ID : 11287291

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

3000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. David P O'Connor Esq.

Mailing Address 2005 Market St

City

Philadelphia

State

PA

Zip Code

19103-7042

FEC ID number of contributing
federal political committee.

C

Name of Employer

Delaware Investments

Occupation

Sr. Vice President, Stg. Inv. Gen Coun

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

11 / 26 / 2012

Transaction ID : 11289185

Amount of Each Receipt this Period

600.00

Full Name (Last, First, Middle Initial)

B. Mr. Michael J Hogan

Mailing Address 2005 Market Street

City

Philadelphia

State

PA

Zip Code

19103-7042

FEC ID number of contributing
federal political committee.

C

Name of Employer

Delaware Investments

Occupation

Chief Investment Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

11 / 26 / 2012

Transaction ID : 11290677

Amount of Each Receipt this Period

600.00

Full Name (Last, First, Middle Initial)

C. Mr. Mike McNamee

Mailing Address 1401 H Street NW
Suite 1200

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Senior Director, Public Communications

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.06

Date of Receipt

11 / 26 / 2012

Transaction ID : PR12724556396

Amount of Each Receipt this Period

45.46

P/R Deduction (\$0.00)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1245.46

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(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Mr. F. Gregory Ahern

Mailing Address 1401 H Street NW

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Chief Public Communications Officer

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
11				26				2012					

Transaction ID : PR5120916396

Amount of Each Receipt this Period

100.00

P/R Deduction (\$0.00)

Full Name (Last, First, Middle Initial)

B. Mr. Paul S Stevens

Mailing Address 630 South Fairfax St

City

Alexandria

State

VA

Zip Code

22314-3834

FEC ID number of contributing
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

President

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

4166.80

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
11				26				2012					

Transaction ID : PR5126116396

Amount of Each Receipt this Period

378.80

P/R Deduction (\$0.00)

Full Name (Last, First, Middle Initial)

C. Ms. Karrie McMillan

Mailing Address 6411 Furlong Rd

City

McLean

State

VA

Zip Code

22101

FEC ID number of contributing
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

General Counsel

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

846.33

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
11				26				2012					

Transaction ID : PR5226056396

Amount of Each Receipt this Period

76.94

P/R Deduction (\$0.00)

SUBTOTAL of Receipts This Page (optional)..... ►

555.74

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 OF 48
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Ms. Tonnie Wybensinger

Mailing Address 1401 H ST. NW
Suite 1200

City Washington State DC Zip Code 20005-2110

FEC ID number of contributing
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Director, Financial Services Policy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

846.12

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2012

Transaction ID : PR67221966396

Amount of Each Receipt this Period

76.92

P/R Deduction (\$0.00)

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

76.92

119378.12

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 OF 48
(check only one)

☐ 11a ☐ 11b ☒ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Marty Meehan for Congress Committee

Mailing Address 28 Johnson Road

City State Zip Code
Andover MA 01810-1712

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2012

Transaction ID : 11287873

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1000.00

1000.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 38 OF 48

☐ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☒ 17

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Wachovia MM

Mailing Address

City

State

Zip Code

VA

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

397.59

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 31 / 2012

Transaction ID : 11274260

Amount of Each Receipt this Period

1.78

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1.78

1.78

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 39 OF 48

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Lynn PAC

Mailing Address 104 Hume Avenue

City	State	Zip Code
Alexandria	VA	22301

Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State:	District:

Disbursement For:
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

011

Category/
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		19		2012

Transaction ID : 11220434

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Buck McKeon For Congress

Mailing Address 23942 Lyons Ave #105

City	State	Zip Code
Santa Clarita	CA	91321

Purpose of Disbursement

Candidate Name

Rep. Howard P. McKeon

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State: CA	District: 25

Disbursement For: 2012
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

011

Category/
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		19		2012

Transaction ID : 11220440

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Friends Of John Thune

Mailing Address PO Box 841

City	State	Zip Code
Sioux Falls	SD	57101

Purpose of Disbursement

Candidate Name

Sen. John R. Thune

Office Sought:	<input type="checkbox"/> House <input checked="" type="checkbox"/> Senate <input type="checkbox"/> President
State: SD	District:

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

011

Category/
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		19		2012

Transaction ID : 11220441

Amount of Each Disbursement this Period

1500.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Friends Of John Thune

Mailing Address PO Box 841

City	State	Zip Code
Sioux Falls	SD	57101

Purpose of Disbursement

011

Candidate Name

Sen. John R. Thune

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: SD District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		19		2012

Transaction ID : 11220443

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Friends Of Chris Murphy

Mailing Address PO Box 127

City	State	Zip Code
Cheshire	CT	06410

Purpose of Disbursement

011

Candidate Name

Mr. Christopher Murphy

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: CT District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		22		2012

Transaction ID : 11221374

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

C. Tommy Thompson For Senate Inc

Mailing Address PO Box 2539

City	State	Zip Code
Madison	WI	53701

Purpose of Disbursement

011

Candidate Name

Mr. Tommy Thompson

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: WI District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		22		2012

Transaction ID : 11221385

Amount of Each Disbursement this Period

5000.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

11000.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Terri Sewell For Congress

Mailing Address P.O. Box 1964

City	State	Zip Code
Birmingham	AL	35201

Purpose of Disbursement

011

Candidate Name

Rep. Terri Sewell

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: AL District: 07

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		26		2012

Transaction ID : 11236390

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. The Madison PAC

Mailing Address P.O. Box 15906

City	State	Zip Code
Chavy Chase	MD	20825

Purpose of Disbursement

011

Candidate Name

The Madison PAC

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For:

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		26		2012

Transaction ID : 11236391

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

C. Scott Brown For Us Senate Committee Inc

Mailing Address 337 Summer Street

City	State	Zip Code
Boston	MA	02210

Purpose of Disbursement

011

Candidate Name

Sen. Scott Brown

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: MA District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		31		2012

Transaction ID : 11238242

Amount of Each Disbursement this Period

2500.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

8500.00

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Bill Nelson For U S Senate

Mailing Address 972 W Whitmire Drive

City	State	Zip Code
Melbourne	FL	32935

Purpose of Disbursement

011

Candidate Name

Sen. Bill Nelson

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2018

☒ Primary ☐ General
☐ Other (specify) ▼

State: FL District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	07	/	2012

Transaction ID : 11251769

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Friends Of Mark Warner

Mailing Address 201 North Union Street Suite 300

City	State	Zip Code
Alexandria	VA	22314

Purpose of Disbursement

011

Candidate Name

Mr. Mark Warner

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: VA District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	07	/	2012

Transaction ID : 11251773

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. Lucas For Congress

Mailing Address PO Box 1726

City	State	Zip Code
Oklahoma City	OK	73101

Purpose of Disbursement

011

Candidate Name

Rep. Frank D. Lucas

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: OK District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	07	/	2012

Transaction ID : 11251774

Amount of Each Disbursement this Period

1500.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

5000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 43 OF 48

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Friends Of John Barrasso

Mailing Address PO Box 52008

City	State	Zip Code
Casper	WY	82605

Purpose of Disbursement

011

Candidate Name

Sen. John A. Barrasso MDCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2018

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: WY District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	07	/	2012

Transaction ID : 11251775

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

B. Charles W. Boustany Jr. for Congress

Mailing Address 331 Beverly Drive

City	State	Zip Code
Lafayette	LA	70503

Purpose of Disbursement

011

Candidate Name

Rep. Charles BoustanyCategory/
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

State: LA District: 07

Runoff2012

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	14	/	2012

Transaction ID : 11274286

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. Yoder For Congress, Inc

Mailing Address PO Box 26742

City	State	Zip Code
Overland Park	KS	66225

Purpose of Disbursement

011

Candidate Name

Rep. Kevin YoderCategory/
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: KS District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2012

Transaction ID : 11275762

Amount of Each Disbursement this Period

2500.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

6500.00

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SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Shelley Moore Capito For Congress

Mailing Address P.O. Box 11519

City	State	Zip Code
Charleston	WV	25339

Purpose of Disbursement

011

Candidate Name

Rep. Shelley Moore CapitoCategory/
Type

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: WV District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		19		2012

Transaction ID : 11282738

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

B. OrrinPACMailing Address 175 S. West Temple
Suite 650

City	State	Zip Code
Salt Lake City	UT	84101

Purpose of Disbursement

011

Candidate Name

OrrinPACCategory/
Type

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For:

☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290673

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. Friends Of Erik PaulsenMailing Address P.O. Box 44369
250 Prairie Center Drive

City	State	Zip Code
Eden Prairie	MN	55344

Purpose of Disbursement

011

Candidate Name

Rep. Erik P. PaulsenCategory/
Type

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: MN District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290674

Amount of Each Disbursement this Period

2500.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

10000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Friends Of Erik Paulsen

Mailing Address P.O. Box 44369

250 Prairie Center Drive

City

Eden Prairie

State

MN

Zip Code

55344

Purpose of Disbursement

Void - Friends Of Erik Paulsen

011

Candidate Name

Rep. Erik P. PaulsenCategory/
Type

Office Sought:

☒ House☐ Senate☐ President

Disbursement For: 2010

☒ Primary☐ General☐ Other (specify) ▼

State: MN

District: 03

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
1	1	1		2	6			2	0	1	2		

Transaction ID : 11290945

Amount of Each Disbursement this Period

-2000.00

Void - Friends Of Erik Paulsen

Full Name (Last, First, Middle Initial)

B. Friends Of John Tanner

Mailing Address Post Office Box 1994

City

Union City

State

TN

Zip Code

38281

Purpose of Disbursement

Void - Friends Of John Tanner

011

Candidate Name

Rep. John S. TannerCategory/
Type

Office Sought:

☒ House☐ Senate☐ President

Disbursement For: 2010

☒ Primary☐ General☐ Other (specify) ▼

State: TN

District: 08

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
1	1	1		2	6			2	0	1	2		

Transaction ID : 11290946

Amount of Each Disbursement this Period

-2500.00

Void - Friends Of John Tanner

Full Name (Last, First, Middle Initial)

C. Heller For Congress

Mailing Address PO Box 531086

City

Henderson

State

NV

Zip Code

89053

Purpose of Disbursement

Void - Heller For Congress

011

Candidate Name

Rep. Dean HellerCategory/
Type

Office Sought:

☒ House☐ Senate☐ President

Disbursement For: 2010

☒ Primary☐ General☐ Other (specify) ▼

State: NV

District: 02

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
1	1	1		2	6			2	0	1	2		

Transaction ID : 11290947

Amount of Each Disbursement this Period

-1000.00

Void - Heller For Congress

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

-5500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. John Tierney For Congress

Mailing Address 49 Federal Street

City Salem	State MA	Zip Code 01970
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Purpose of Disbursement
Void - Tierney For Congress

Candidate Name

Rep. John F. TierneyOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2010
☒ Primary ☐ General
☐ Other (specify) ▼

State: MA District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290948

Amount of Each Disbursement this Period

-2500.00

Void - Tierney For Congress

Full Name (Last, First, Middle Initial)

B. Earl Pomeroy For Congress

Mailing Address Post Office Box 9336

City Fargo	State ND	Zip Code 58106
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Purpose of Disbursement
Void - Earl Pomeroy For Congress

Candidate Name

Rep. Earl PomeroyOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2010
☒ Primary ☐ General
☐ Other (specify) ▼

State: ND District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290949

Amount of Each Disbursement this Period

-1000.00

Void - Earl Pomeroy For Congress

Full Name (Last, First, Middle Initial)

C. DAKPAC

Mailing Address 607 14th Street NW Suite 800

City Washington	State DC	Zip Code 20005
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Purpose of Disbursement
Void - DAKPAC

Candidate Name

DAKPACOffice Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290950

Amount of Each Disbursement this Period

-1000.00

Void - DAKPAC

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

-4500.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Ben Cardin For Senate

Mailing Address P.O. Box 21093

City	State	Zip Code
Catonsville	MD	21228

Purpose of Disbursement
Void - Ben Cardin for Senate

011

Candidate Name

Mr. Benjamin CardinCategory/
TypeOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2012
☒ Primary ☐ General
☐ Other (specify) ▼

State: MD District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290951

Amount of Each Disbursement this Period

-2500.00

Void - Ben Cardin for Senate

Full Name (Last, First, Middle Initial)

B. Friends of Gregory Meeks

Mailing Address 322 Massachusetts Avenue, NE

City	State	Zip Code
Washington	DC	20002

Purpose of Disbursement
Void - Friends of Gregory Meeks

011

Candidate Name

Gregory MeeksCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2012
☒ Primary ☐ General
☐ Other (specify) ▼

State: NY District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290952

Amount of Each Disbursement this Period

-1000.00

Void - Friends of Gregory Meeks

Full Name (Last, First, Middle Initial)

C. Donnelly For Indiana

Mailing Address 1050 17th St Nw Ste 590

City	State	Zip Code
Washington	DC	20036

Purpose of Disbursement
Void - Joe Donnelly For Congress

011

Candidate Name

Rep. Joseph DonnellyCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2012
☒ Primary ☐ General
☐ Other (specify) ▼

State: IN District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290953

Amount of Each Disbursement this Period

-1000.00

Void - Joe Donnelly For Congress

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

-4500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Full Name (Last, First, Middle Initial)

A. Matthew E Kelly For Congress

Mailing Address 10424 Turning Leaf Trail

City	State	Zip Code
Fort Worth	TX	76131

Purpose of Disbursement
Void - Matthew E Kelly For Congress

Candidate Name

Mr. Matthew KellyOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2012
☒ Primary ☐ General
☐ Other (specify) ▼

State: TX District: 12

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290954

Amount of Each Disbursement this Period

-1000.00

Void - Matthew E Kelly For Congress

Full Name (Last, First, Middle Initial)

B. Donnelly For Indiana

Mailing Address 1050 17th St Nw Ste 590

City	State	Zip Code
Washington	DC	20036

Purpose of Disbursement
Void - Joe Donnelly For Congress

Candidate Name

Rep. Joseph DonnellyOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2012
☒ Primary ☐ General
☐ Other (specify) ▼

State: IN District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290955

Amount of Each Disbursement this Period

-2500.00

Void - Joe Donnelly For Congress

Full Name (Last, First, Middle Initial)

C. Steve Israel For Congress Committee

Mailing Address P.O. Box 777

City	State	Zip Code
Deer Park	NY	11729

Purpose of Disbursement
Void - Steve Israel For Congress Committee

Candidate Name

Rep. Steve J. IsraelOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2012
☒ Primary ☐ General
☐ Other (specify) ▼

State: NY District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		26		2012

Transaction ID : 11290956

Amount of Each Disbursement this Period

-1000.00

Void - Steve Israel For Congress Committee

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

-4500.00

25500.00
